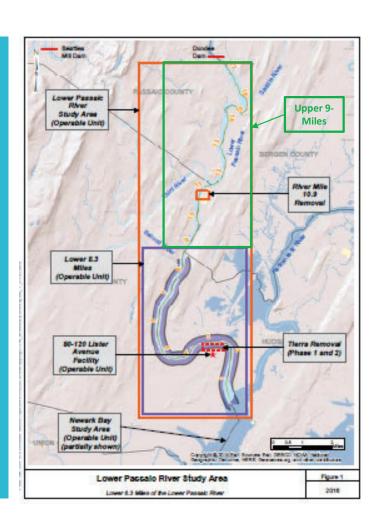
Lower Passaic River: A Plan to Expedite Cleanup of the Upper 9-Miles

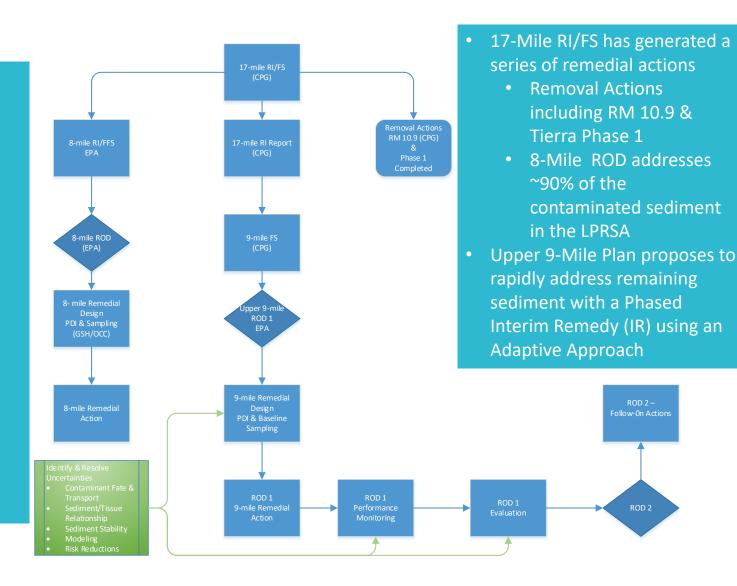
December 1, 2017

Diamond Alkali Superfund Site



- OU1 80-120 Lister Avenue Facility
 - Addressed by the 1987 ROD; completed in 2004
 - Interim containment remedy, which consists of capping, subsurface slurry wall and flood wall, and a groundwater collection and treatment system
- OU2 Lower 8.3 Miles of the Lower Passaic River Study Area
 - March 2016 ROD selected a remedy to address the sediments of the lower 8.3 miles
 - Most contaminated segment of the river and a primary ongoing contaminant source to the rest of the LPR and Newark Bay.
- OU3 Newark Bay Study Area RI/FS
- OU4 17-mile Lower Passaic River Study Area
 - Upper 9-mile Plan proposes a phased remedy to rapidly address sediment through a interim remedy that relies on adaptive management
 - Includes completing the 17-mile RI Report and an FS that evaluates Upper 9-mile remedial alternatives and acknowledges the Lower 8-mile ROD

How the Upper 9-Mile Plan Completes the 17-Mile LPRSA Remedial Actions



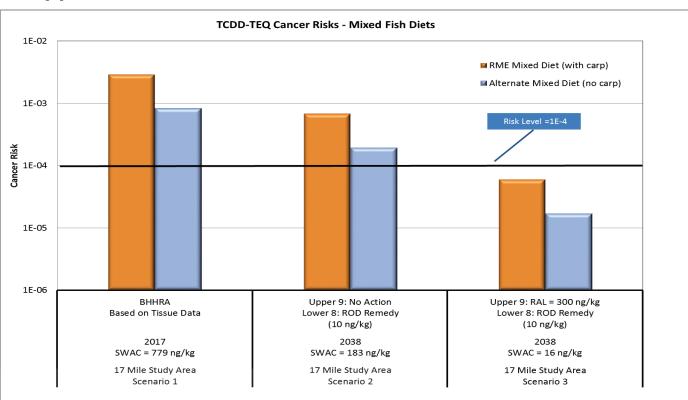
The CPG Plan: An Overview

Using Adaptive Management in the Upper 9-Miles

- ROD 1 Interim Remedy (IR) to remove Source Areas
 Posing the Greatest Risks or Preventing the Rest of the
 River from Recovering (ROD 1)
 - 2,3,7,8-TCDD Sediment SWAC reduced by ~90% following Phase 1 IR
 - Total PCBs reduced below background
- Monitor Fish, Crab, Water and Sediment to Confirm the IR & Monitored Natural Recovery (MNR) are Working (Performance Monitoring)
 - Model projections suggest that fish consumption risks reduced to below 10⁻⁴ in ~10 years
 - An estimated reduction of 90% for fish and avian ecological HQs in the same period
- ROD 2 Go Back Into the River and Do More if Needed or Set Final Cleanup Levels if River is Recovering as Predicted

Threshold Issue: Phase 1 IR & MNR expected to be Protective

TCDD Risks: Current versus Post-Lower 8-Mile Remedy & Upper 9-Mile IR & MNR in 2038



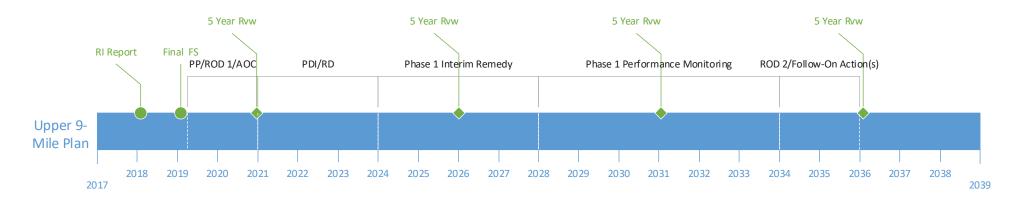
CPG's Proposal for an Upper 9-Mile Phase 1 IR

- Phased approach to address the Upper 9-Miles using Adaptive Management
- Proposed RAL of 300 ppt (ng/kg) TCDD and 1 ppm (mg/kg) of Total PCBs
- Approximately 80 Acres from RM 8.3 to RM 14.7
- Remedial Footprint will be reassessed after the PDI
- RD will include refined modeling projections for sediment and tissue recovery
- Performance Monitoring will be used to determine whether the Phase 1 IR and MNR are sufficient and ROD 2 can codify the final cleanup levels, or whether additional actions are required to achieve protectiveness

Potential Results of the Upper 9-Mile Plan – Phase 1 IR & MNR

- Proposed Phase 1 IR & MNR are likely to achieve protectiveness when combined with Lower 8.3 Mile Remedial Action (RA)
- Allows coordination with Lower 8-mile RA
- The entire 17-miles will be addressed years sooner potentially completing the active clean-up in the mid-to-late 2020s:
 - Lower 8.3-Mile RA
 - Upper 9-Mile IR
- Iterative nature of Adaptive Management provides certainty of meeting final risk goals

Upper 9-Mile IR Coordinated with 8-Mile RA Cleans Entire 17-Miles Sooner





Conceptual Site Model Elements Regarding Sediment Recovery Provide Guidance for Phase 1 Interim Remedy

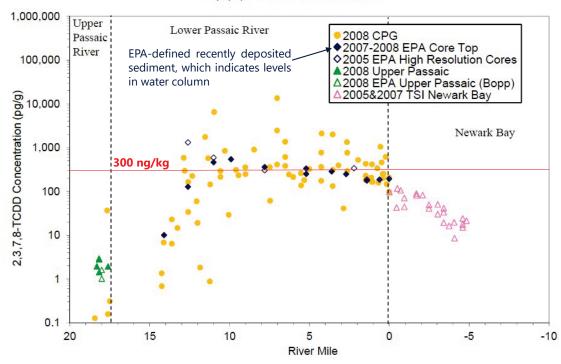
- At locations of fine sediment with surface concentrations much higher than on depositing particles:
 - Net deposition responsible for the COPCs being there in the first place has likely slowed or stopped
- At locations of fine sediments with surface concentrations matching those of depositing particles:
 - Net deposition likely has continued
- At locations of coarser sediments, which typically have concentrations lower than those of depositing particles:
 - Concentrations likely reflect the net result of erosion and deposition of the fine fraction
 - Erosion and deposition at these locations will cause concentrations to be impacted by the concentrations on depositing particles

Upper 9-Mile Plan Phase 1 IR - Basis

- Actively remediate sediments that inhibit recovery
- Allow areas with good recovery potential to respond to the substantial reduction in concentrations achieved by remediating source areas
- Areas subject to significant net deposition and areas subject to cyclic erosion and deposition have the potential for recovery and have COPC concentrations that reflect the concentrations on recently deposited sediments originating from the water column

Depositing Particle 2,3,7,8TCDD Concentrations Roughly 200 ng/kg to 400 ng/kg

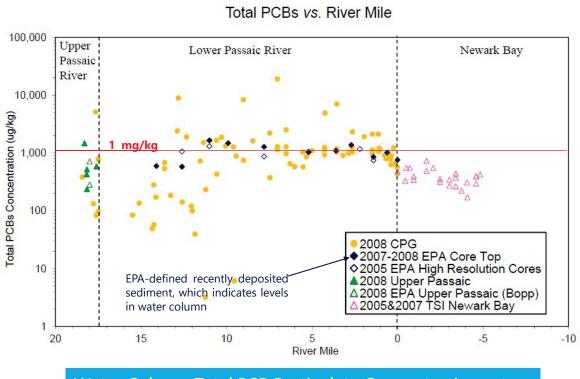
2,3,7,8-TCDD vs. River Mile



"...2,3,7,8-TCDD concentration in recently-deposited sediments vary less than a factor of 3 from RM 2 to RM 12 (note in blue diamonds on the upper diagram in Figure 4-3)." – FFS RI Report at Page 4-3.

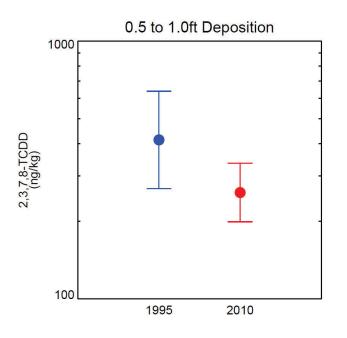
Water Column 2,3,7,8-TCDD Particulate Concentrations at RM 10.2 (from HV-CWCM) 180 ng/kg and 340 ng/kg

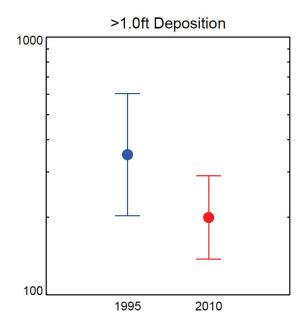
Depositing Particle Total PCB Concentrations Roughly 0.7 to 1.5 mg/kg



Water Column Total PCB Particulate Concentrations at RM 10.2 (from HV-CWCM)
0.7 mg/kg and 0.9 mg/kg

Evidence of Recovery in Lower 8 Mile Depositional Areas



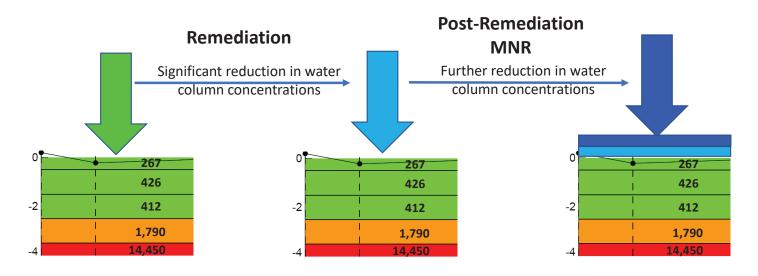


Plot shows the arithmetic average calculated in natural log space with +/- two standard errors for data collected between RM 1 and RM 7. The 1995 dataset includes data collected between 1995 – 1999 and the 2010 dataset includes data collected between 2005 – 2013. Differences between 1995 and 2011 bathymetry surveys were used where available. Outside the coverage of the 2011 bathymetry data, differences between 1995 and 2007 bathymetry surveys were used.

Knowledge of Recovery Mechanisms Allows Prediction of Post-Remediation Recovery

- Burial via net deposition
 - Applies to most areas with surface sediment 2,3,7,8-TCDD concentrations in the range of 200 to 400 ng/kg
- Exchange of fine sediment component of coarse sediments via alternating deposition and erosion
 - Applies to areas with surface sediment 2,3,7,8-TCDD concentrations less than 200 ng/kg

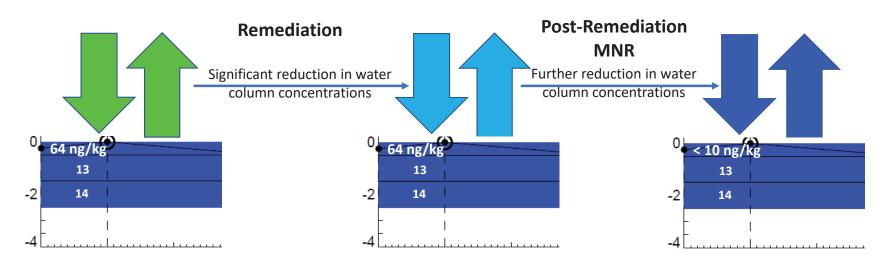
Recovery Via Net Deposition



RM 10.68 Core 11B-0302

Recovery Via Erosion and Deposition in Coarse Sediments

Location mapped as Gravel and Sand



RM 7.97 Core CLRC-051

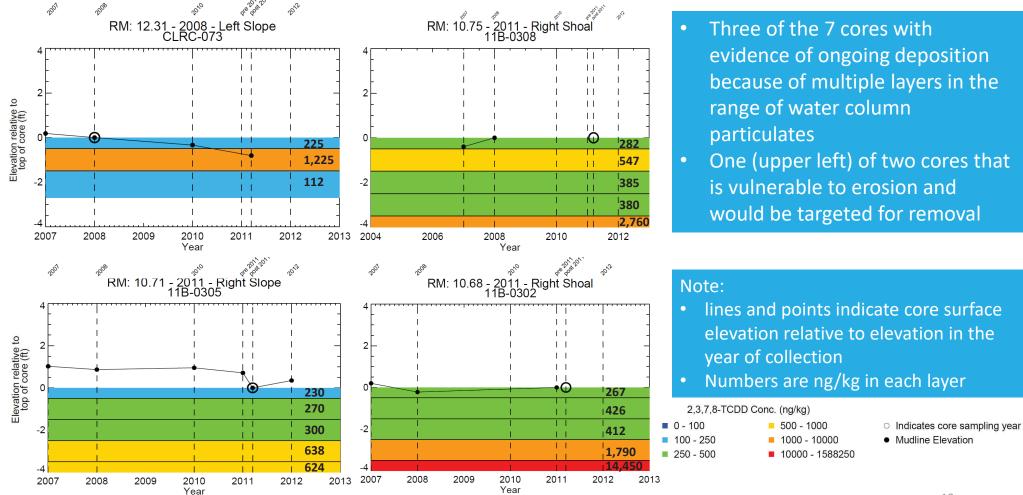
Recovery
Potential at
Locations with
2,3,7,8-TCDD of
200 ng/kg to 300
ng/kg was
Evaluated to
Validate
Recovery
Mechanisms

- 12 such cores collected between RM 8 and RM 12.5
- Indicators of recovery potential
 - More than one layer with concentrations in the 200 ng/kg to 400 ng/kg range indicative of deposition
 - No indication of significant erosion at the location
 - Recognizing that Hurricane Irene occurred in 2011 (90 year event)
 - Absence of subsurface contamination location of temporary deposition

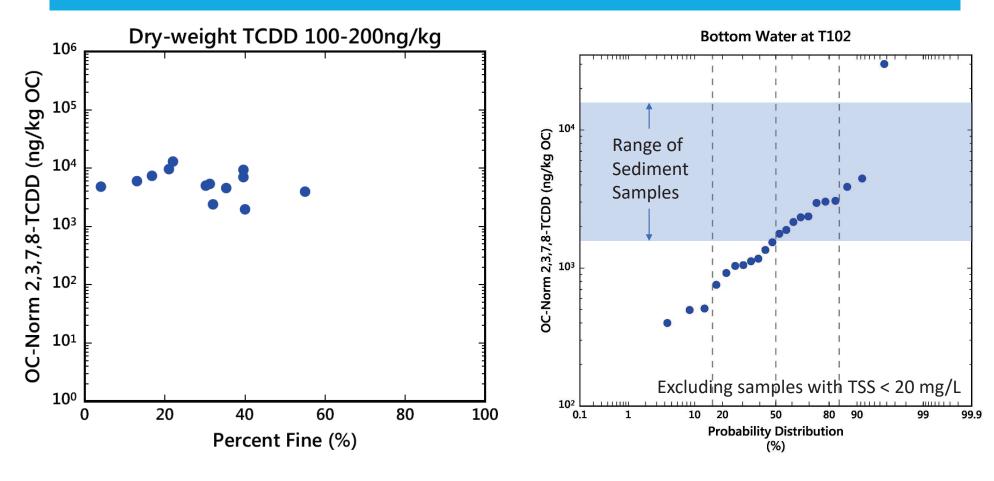
Results of Evaluation Support (or Validate) Recovery and Phase 1 IR

- Summary of findings
 - 10 of 12 locations show recovery potential
 - 7 locations have more than one layer with concentrations in the 200 ng/kg to 400 ng/kg range
 - 2 locations have higher concentrations below the surface layer but only modest bathy changes despite high flow events
 - 1 location has no subsurface contamination temporary deposition
 - 2 locations would be remediated in Phase 1 based on vulnerability to erosion
- Recovery despite unusually frequent high-flow events that would tend to mask longer term recovery potential
 - 2007-2011 included 4 events with peak daily average flow of about 15,000 cfs or more at Little Falls
 - Only one such event in the prior 27 years

Bathymetry Temporal and Vertical 2,3,7,8-TCDD Profiles for Cores With 200 ng/kg to 300 ng/kg in the Top 0.5 ft



Locations with 100-200 ng/kg of 2,3,7,8-TCDD Exhibit Similar Carbon-Based Concentrations that Suggest a Close Connection to Water Column Particulates

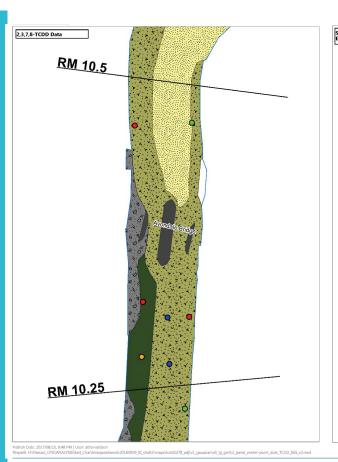


Evaluation of Remediation and its Effectiveness Conducted Using Concentration Distributions Predicted by Geostatistics

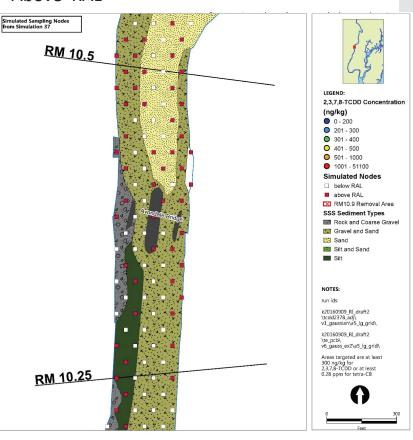
- Kriging used to quantify uncertainty in interpolated concentrations
- Conditional simulation used Kriging uncertainty estimates to generate 100 maps of sediment COPC distributions, each of which honor the data and the spatial correlation estimated from the data
- A single map designated as CS37 has been agreed for modeling purposes to be used to estimate the magnitude of remedial foot print triggered by the RALs and the concentration reductions that would be attained by that remediation

Conditional
Simulation
Evaluation –
100
simulations
performed,
EPA approved
CS 37 for
model input

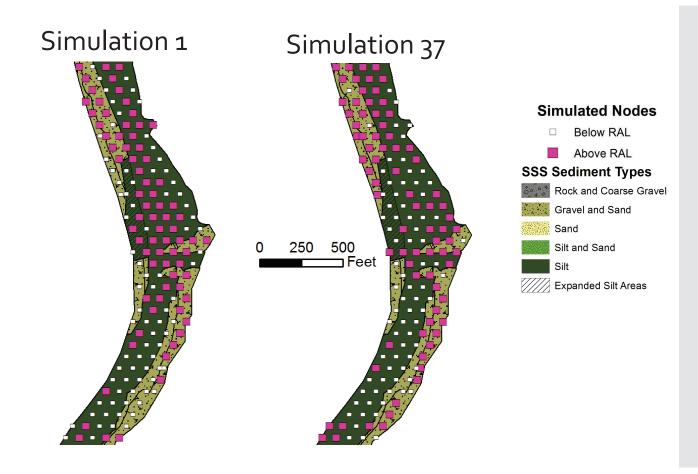
Data on Which Maps are Based



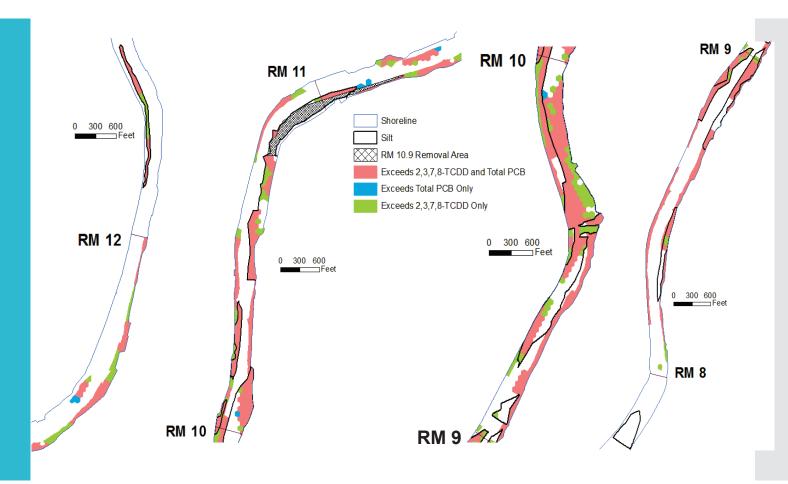
CS37 Interpolation – Red at or Above RAL



Each CS Yields
a Different
Map – High
density predesign
sampling will
reduce the
uncertainty



Areas Targeted
Using CS37
(area above RM
12.5 not shown for convenience)



RM 8 = RM 8.3 in the RM system adopted for the FFS

Variable RALs
Evaluated at
EPA's Request:
Range of Phase
1 Footprint
based on
Variable RALs

	2,3,7,8-TCDD F	RAL (ng/kg)		RM 8-14.7	Δcreage
Limited Deposition/ Some Erosion	Erosion > 6 inches	Direct Contact Areas	Other Areas	CS 37	Range of All CS Runs
300	300	300	300	83	67 - 94
250	250	300	300	84	70 - 96
200	200	300	300	86	72 - 99
200	200	300	500	84	71 - 97
200	200	250	500	85	72 - 98
200	200	200	300	89	75 - 102

2,3,7,8-	TCDD RAL (ng/l	(g)	RM 8-14.7	Acreage
Shoals	Erosion > 6 inches	Other Areas	CS 37	Range of All CS Runs
200	300	300	87	73 - 99
200	200	300	87	73 - 100
200	200	500	85	71 - 96

Note: Statistics being revised to reflect discussions with EPA on how remedial areas are set for the various categories

Post-Remedy SWACs and Percent Reductions based on Variable RAL Evaluation

2.2	7.0.7600	DAL (/1)			RM 8	- 14.7		RM 8 – 17.4								
2,3	,7,8-1CDD1	RAL (ng/kg)		2,3,7,8	8-TCDD	Tota	I PCB	2,3,7,8	-TCDD	Total PCB						
Limited Deposition/ Some Erosion	Erosion > 6 inches	Direct Contact Areas	Other Areas	SWAC	Percent Reduction	SWAC	Percent Reduction	SWAC	Percent Reduction	SWAC	Percent Reduction					
300	300	300	300	84	91.5	0.30	79.7	62	91.5	0.29	74.7					
250	250	300	300	82	91.7	0.30	80.0	60	91.7	0.29	75.0					
200	200	300	300	79	92.0	0.29	80.4	62	91.5	0.29	74.7					
200	200	300	500	87	91.2	0.30	79.8	64	91.2	0.29	74.8					
200	200	250	500	82	91.7	0.29	80.2	60	91.7	0.29	75.2					
200	200	200	300	71	92.8	0.28	81.2	52	92.8	0.28	76.2					

22707	CDD DAL /-	- /1>		RM 8	- 14.7		RM 8 – 17.4									
2,3,7,8-1	CDD RAL (n	ig/kg)	2,3,7,8	8-TCDD	Tota	I PCB	2,3,7,8	B-TCDD	Tota	I PCB						
Shoals	Erosion > 6 inches	Other Areas	SWAC	Percent Reduction	SWAC	Percent Reduction	SWAC	Percent Reduction	SWAC	Percent Reduction						
200	300	300	70	92.9	0.28	81.1	52	92.9	0.28	76.0						
200	200	300	70	92.9	0.28	81.1	51	92.9	0.28	76.1						
200	200	500	74	92.6	0.29	80.6	54	92.6	0.28	75.6						

All PCB results are below ROD background of >0.4 mg/kg

Note: Statistics being revised to reflect discussions with EPA on how remedial areas are set for the various categories

Variable RAL Analysis Found No Significant Difference Between Alternatives

- Analysis Supports Use of 300 ng/kg RAL
 - 300 ng/kg RAL reduces concentrations more than ten-fold
 - Reducing RAL to 200 ng/kg in areas with certain characteristics achieves little additional benefit
 - Targets cores showing recovery potential
 - Produces unmeasurable changes in SWAC
 - mostly < 10 ng/kg
 - 300 ng/kg RAL is already conservative
 - Could raise to 400 ng/kg since water column concentrations 200 – 400 ng/kg

Current RI Data Limit the Ability of Identifying Flexible RALs

- Current RI data is insufficient to demonstrate the benefits of flexible RAL approach
- Flexible RAL options do little to reduce risk, but the increase in volume and cost are significant.
- PDI investigation will be designed to develop data set to improve models and allow a more robust evaluation of flexible RALs.
- Models suffice for FS level evaluation

Range of Post-Remediation SWACs Within Range of Data Uncertainties

- CS 37 is One of 100 Conditional Simulations
 - +/-25% for total footprint acreage
 - Final footprint will be based on PDI results
- Current data set and tools are not refined sufficiently to determine the difference between 40, 30, 20 or 10 ng/kg
- Numerous Uncertainties in Sediment to Tissue Relationships
- Post-Remediation/Recovery SWACs are equivalent within accuracy of data
- Only mechanism to evaluate effectiveness is to conduct Phase 1 Interim Remedy and monitor: Adaptive Management

EPA Evaluated Potential Recovery Following Phase 1 IR & MNR in 2038

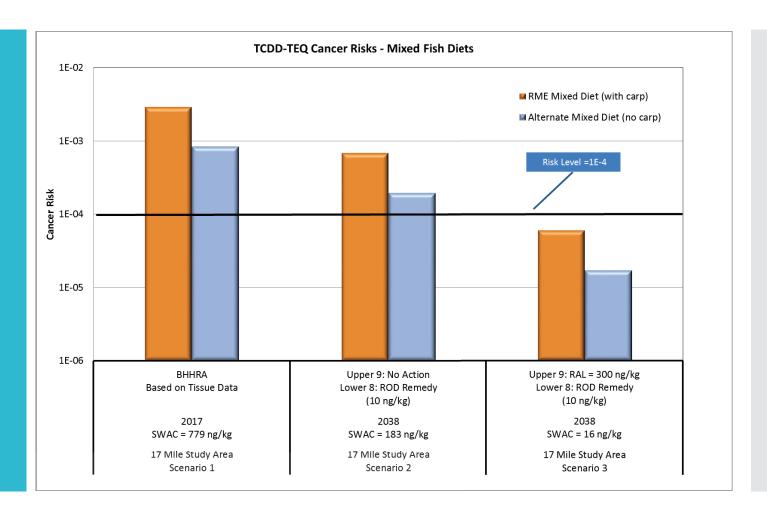
HDR Prediction Results - September 11, 2017

- RM8.3 17.4
 - 2038 TCDD concentration (after recovery): 27 ng/kg
 - 96% reduction
- RM8.3 14.8
 - 2038 TCDD concentration: 36 ng/kg
 - 96% reduction
- Shoals, RM8.3 14.8 and RM8.3 17.8
 - 2038 TCDD concentration: 31 ng/kg
 - 97.5% reduction
- Results show that the Phase 1 removal is likely to provide a substantial benefit to the river
- Supports projections that the Phase 1 IR and subsequent MNR are expected to be protective

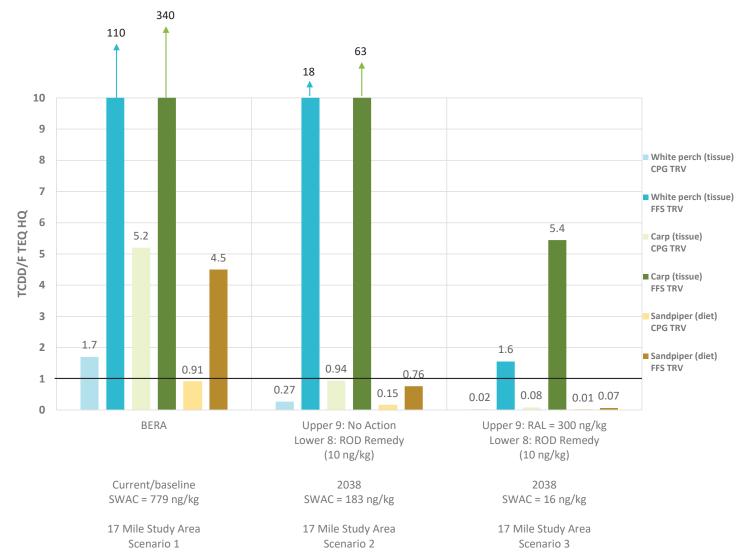
2,3,7,8-TCDD SWACs Used in Risk Reduction Calculations

Scenario Number	Scenario Description	2,3,7,8-TCDD SWAC (ng/kg)	Basis for SWAC Used
1	Current baseline conditions	779 ng/kg RM 0-17.4 SWAC	CPG Mapping of "2010" dataset (conditional simulation 37)
2	ROD remedy only (no action in the upper 9 miles)	183 ng/kg RM 0-17.4 SWAC	 Area-weighted average of the following: For lower 8 miles, EPA ROD model prediction for 2038 for preferred remedy, based on 2016 ROD report figures (10 ng/kg). For upper 9 miles, EPA ROD model No Action simulation presented at the 9/11 Phase 1 meeting (511 ng/kg)
3	ROD remedy and Phase 1 IR & MNR - Impact on site-wide risk	16 ng/kg RM 0-17.4 SWAC	 Area-weighted average of the following: For lower 8 miles, EPA ROD model prediction for 2038 for preferred remedy, based on 2016 ROD report figures (10 ng/kg). For upper 9 miles, EPA ROD model 2038 prediction for a 300 ng/kg 2,3,7,8-TCDD RAL in the upper river, presented at the 9/11 meeting (27 ng/kg)

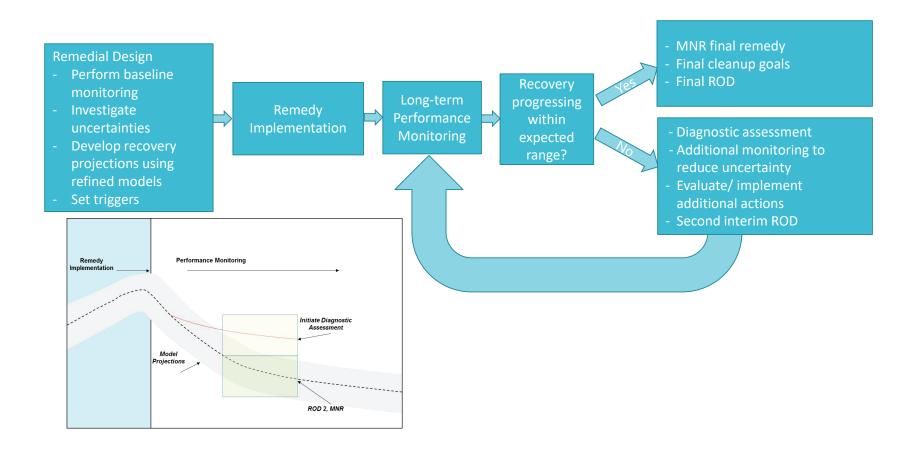
Projected
Cancer Risk
Reductions –
Adult & Child
Angler through
2038



Projected
Ecological Risk
Reductions –
White perch
(tissue)
carp (tissue) &
sandpiper
(diet) through
2038



Upper 9-mile Adaptive Management Process



Use of Models

- Complete current modeling effort to support
 FS
 - Hydrodynamic/Sediment Transport Calibrated
 - Chemical Fate & Transport Calibration Nearing Completion
 - Bioaccumulation Calibrate & Peer Review in 2018
- Use additional data collected in PDI to refine Contaminant Fate and Bioaccumulation models
- Develop expected recovery trajectory and use Performance Monitoring data to assess if river is responding as predicted

Monitoring Elements of Phase 1 Adaptive Management

Baseline monitoring

 Establish pre-dredge conditions for comparison with postremediation conditions

Pre-Design Investigation (PDI)

- Delineate remedial footprint
- Support model refinement and updated recovery projections

Performance monitoring

- Interim monitoring to evaluate short-term system response during remedy implementation
- Long-term monitoring of system response to support 5-year reviews, and adaptive management

Adaptive Management Approach

- Criteria and triggers for diagnostic assessment and/or additional action will be based on comparison of performance monitoring data with projected recovery rates
- If the diagnostic assessment identifies:
 - Lack of recovery due to identifiable factors additional remedial actions will be evaluated/selected
 - Slower than projected but ongoing recovery revisit CSM and/or model projections, re-evaluate risk reduction timeframes, continue monitoring or consider additional actions

Diagnostic measures could include:

- Increased monitoring frequency to confirm conditions of concern
- Focused sampling to isolate area(s) of concern
- Bathymetric evaluation
- Model recalibration
- CSM refinement
- Source identification

Adaptive
Management Preliminary
Metrics,
Triggers, and
Responses

Remedy Objective/ Performance Standard	Primary Monitoring Metrics	Potential Triggers	Possible Response Actions
Reduce tissue concentrations in fish and crab	Baseline and long-term tissue monitoring	 Tissue recovery rates are slower than the projected range Tissue concentrations reach a plateau that will not achieve adequate risk reduction 	 Confirmatory tissue sampling Diagnostic sediment and water column monitoring Source investigation CFT/FWM model recalibration Evaluation/selection of additional source control or in-water actions
Reduce COC concentrations on water column solids depositing in the upper 9 miles	Baseline and long-term water column monitoring	Water column solids COC concentration recoveries are less than the projected range	 Focused water column monitoring to identify areas of concern HST/CFT model recalibration Evaluation/selection of additional source control or in-water actions
Prevent re-exposure of subsurface sediment with COC concentrations >> RALs in uncapped areas	 Baseline and post- construction bathymetry Future bathymetric surveys in response to high-flow events 	 Bathymetry data indicate erosion and re-exposure of buried contamination 	 Sediment sampling in potentially eroded/exposed areas Evaluation/selection of additional actions

Potential Monitoring in the Upper 9 Miles

		Bathymetry	Water Column	Biota	Sediment (Recovery Indicator Areas)
Baseline		v	v	v	v **
Remedy Impleme	ntation		V	V	
Year 0 Po		V	V	V	√
Long-	Primary*	v	v	v	
term	Diagnostic		V	V	√

^{*}Primary components are those identified as triggering metrics

^{**}Sediment sampling will be performed in PDI

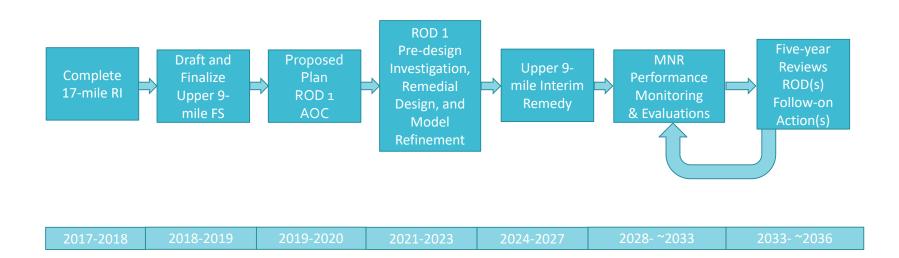
Baseline Monitoring Objectives

Component	Objectives
Bathymetry/ Side Scan Sonar	 Update bathymetry (including relevant shallow areas) Update and refine grain size distribution map
Water Column	 Characterize solids and COC fluxes into and out of the upper 9 mile reach Characterize water column COC concentrations within the reach
Biota	 Characterize chemical concentrations in fish and crab Understand potential for biota recovery Initiate trend analysis in biota over time

Long-Term Performance Monitoring Objectives

Component	Objective
Bathymetry	Confirm sediment stability
Water Column	Monitor solids concentration recovery and flux reduction
Biota	Monitor recovery trends
Sediments (RIAs)	Support diagnostic assessment if slow tissue recovery is observed; Characterize post-remedy surficial sediment concentrations to support sediment stability assessment

Upper 9-mile Plan – An Adaptive & Iterative Approach



Upper 9-mile Plan – RI/FS Schedule

	2017					2018								2019									2020														
RI/FS Submittals to EPA	J	Α	s O	N	D	J	FN	Л	A N	1 J	J	Α	S	О	N	D.	J	FΝ	Λ	N	1 J	J	Α	S	О	N	D	J	F	М	ΑN	/ J	J	А	S	О	NC
BHHRA					nomonon																		-														
BERA																																					
RI Report submitted to EPA								-							000000000								00000000														
CFT Approved																																					
Bioaccumulation Model Peer Review (TBD)																																					
EPA/CPG FS Collaboration Meetings,					wwwww										***************************************																						
Summary Memos					***************************************																																
FS Model Projection Runs					nonnonnon										***************************************								0000000														
Draft FS submitted to EPA																																					
Final FS submitted to EPA																																					
CSTAG/NRRB Review					nonnonnon			-	-														00000000														
Proposed Plan																																					
Public Comment Period					nonnonnon																																
ROD/Responsiveness Summary																																					
AOC																																					

Assumptions:

- Peer review comments on Bioaccumulation Model will be incorporated into model refinement during the PDI
- CSTAG/NRRB review will be interactive and concurrent with the completion of the ${\sf FS}$

Upper 9-mile Plan – 5-year Review/ROD Schedule

	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037
RI/FS																					
Proposed Plan, Public Comment, ROD, AOC																					
Baseline Monitoring																					
PDI/RD/Model Refinement																					
Interim Remedy																					
Remedy Implementation Monitoring																					
Long-term Performance Monitoring																					
5-yr Reviews (Diamond Alkali Site-wide)																					
Second ROD (approximate time frame)										·											

2031 5-yr Review:

- Confirm direct contact and ecological risk reduction is achieved
- Confirm contaminant migration is reduced
- Characterize initial tissue recovery
- Verify sediment stability
- Identify any major deviations from IR performance expectations

2036 5-yr Review:

- Confirm tissue recovery
- Confirm water column solids recovery
- Confirm sediment stability

The Phase 1 IR is Completely Consistent with EPA Guidance

2005 Sediment Guidance

- Take other early or interim actions, followed by monitoring before deciding on a final remedy
- Use adaptive management at complex sediment sites...test hypotheses, reevaluating assumptions as new information is gathered
- Phase in remedy selection where F&T is not well understood or there are significant implementation issues
- Consider separating management of source area from other areas

2017 OLEM Directive

- Consider early actions during RI/FS
- Develop achievable risk reduction expectations
- Consider the limitations of models
- Consider a structured adaptive management approach
- Use monitoring data to evaluate remedial effectiveness

2017 Superfund TF

- Strategy 2: Promote the application of adaptive management at complex sites and expedite cleanup through use of early/interim rods and removal actions
- Recommendation 3:
 Broaden the use of adaptive management (AM) at Superfund Sites

CPG's Proposal for an Upper 9-Mile Phase 1 IR

- Phased approach to address the Upper 9-Miles using Adaptive Management
- Proposed RAL of 300 ppt (ng/kg) TCDD and 1 ppm (mg/kg) of Total PCBs
- Approximately 80 Acres from RM 8.3 to RM 14.7
- Remedial Footprint will be reassessed after the PDI
- Performance Monitoring will be used to determine whether the Phase 1 IR and MNR are sufficient and ROD 2 can codify the final cleanup levels, or whether additional actions are required to achieve protectiveness

The Adaptive Remedy is Scientifically Supported and Certain to be Protective

Certain:

- Immediately reduces contaminant levels by an order of magnitude
- Human Health & Ecological risks significantly & quickly reduced
- Recovery will be accelerated

Expected:

 Meeting the goal of overall protectiveness by the late 2030s for the 17-mile LPRSA.

Certain:

- Post remediation monitoring will provide data needed to confirm recovery
- If additional remediation is needed more will be done